

DWP_5-1 Dworkin® Anti-Bribery & Anti-Corruption Policy

Dworkin® ISO 9001: 2015 Documentation

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1. What does the Dworkin® Anti-Bribery & Anti-Corruption Policy policy cover?

This anti-bribery and anti-corruption policy exists to set out the responsibilities for Dworkin® employees and those who work for us with regards to observing and upholding our zero-tolerance position on bribery and corruption. It also exists to act as a source of information and guidance for those working for Dworkin® as perhaps contractors. It helps them recognise and deal with bribery and corruption issues, as well as understand their own particular responsibilities.

2. Policy statement

Dworkin® is committed to conducting business in an ethical and honest manner, and is committed to implementing and enforcing systems that ensure bribery is prevented. Dworkin® has zero-tolerance for bribery and corrupt activities. We are committed to acting professionally, fairly, and with integrity in all business dealings and relationships, regardless of which country we operate within.

Dworkin® will constantly uphold all laws relating to anti-bribery and corruption in all the jurisdictions in which we operate. We are bound by the laws of the countries we operate within, including the UK Bribery Act 2010 (used as a framework policy), in regards to our conduct both at home and abroad.

Dworkin® recognises that bribery and corruption are punishable by up to ten years of imprisonment and a fine. If our company is discovered to have taken part in corrupt activities, we may be subjected to an unlimited fine, be excluded from tendering for public contracts, and face serious damage to our reputation. It is with this in mind that we commit to preventing bribery and corruption in our business, and take our legal responsibilities very seriously.

Signed

Jan Kubes
CEO

3. Who is covered by the policy?

This anti-bribery policy applies to all employees (whether temporary, fixed-term, or permanent), consultants, contractors, trainees, seconded staff, home workers, casual workers, agency staff, volunteers, interns, agents, sponsors, or any other person or persons associated with us (including third parties), or any of our subsidiaries or their employees, no matter where they are located. The policy also applies to Officers, Trustees, Board, and/or Committee members at any level.

In the context of this policy, third-party refers to any individual or organisation our company meets and works with. It refers to actual and potential clients, customers, suppliers, distributors, business contacts, agents, advisers, and government and public bodies – this includes their advisors, representatives and officials, politicians, and public parties.

Any arrangements our company makes with a third party is subject to clear contractual terms, including specific provisions that require the third party to comply with minimum standards and procedures relating to anti-bribery and corruption.

4. Definition of bribery

Bribery refers to the act of offering, giving, promising, asking, agreeing, receiving, accepting, or soliciting something of value or of an advantage so to induce or influence an action or decision.

A bribe refers to any inducement, reward, or object/item of value offered to another individual in order to gain commercial, contractual, regulatory, or personal advantage.

Bribery is not limited to the act of offering a bribe. If an individual is on the receiving end of a bribe and they accept it, they are also breaking the law.

Bribery is illegal. Employees must not engage in any form of bribery, whether it be directly, passively (as described above), or through a third party (such as an agent or distributor). They must not bribe a foreign public official anywhere in the world. They must not accept bribes in any degree and if they are uncertain about whether something is a bribe or a gift or act of hospitality, they must seek further advice from in the first instance the Company DPO or if in doubt, then the CEO.

5. What is and what is NOT acceptable

This section of the policy refers to 4 areas:

- Gifts and hospitality.
- Facilitation payments.
- Political contributions.
- Charitable contributions.

5.2 Gifts and hospitality

Company accepts normal and appropriate gestures of hospitality and goodwill (whether given to/received from third parties) so long as the giving or receiving of gifts meets the following requirements:

- It is not made with the intention of influencing the party to whom it is being given, to obtain or reward the retention of a business or a business advantage, or as an explicit or implicit exchange for favours or benefits.
- It is not made with the suggestion that a return favour is expected.
- It is in compliance with local law.
- It is given in the name of the company, not in an individual's name.
- It does not include cash or a cash equivalent (e.g. a voucher or gift certificate).
- It is appropriate for the circumstances (e.g. giving small gifts around Christmas or as a small thank you to a company for helping with a large project upon completion).
- It is of an appropriate type and value and given at an appropriate time, taking into account the reason for the gift.
- It is given/received openly, not secretly.
- It is not selectively given to a key, influential person, clearly with the intention of directly influencing them.
- It is not above a certain excessive value, as pre-determined by the Company DPO (usually in excess of £100).
- It is not offered to, or accepted from, a government official or representative or politician or political party, without the prior approval of the Company DPO.

5.3 Where it is inappropriate to decline the offer of a gift.

This can happen sometimes when meeting with an individual of a certain religion/culture (who may take offence) should a gift not be accepted. Under these circumstances, the gift may be accepted so long as it is declared to the Company DPO/CEO, who will assess the circumstances.

5.4 Dworkin® recognises that the practice of giving and receiving business gifts varies between countries, regions, cultures, and religions.

Therefore definitions of what is acceptable and not acceptable will inevitably differ for each particular circumstance. Each situation will be dealt with on a case by case basis.

5.5 As good practice, gifts given and received should always be disclosed to the DPO.

Gifts from suppliers should always be disclosed in order to maintain a basic awareness of hospitality received. If at any time a gift given seems to be of an excessive nature, this should be reported to the DPO.

5.6 The intention behind a gift being given/received should always be considered.

If there is any uncertainty felt behind the reason for a gift being made, the advice of the Company DPO/CEO should always be sought. This will ensure that there is perhaps no ulterior motive for a gift being given.

5.7 Facilitation Payments and Kickbacks

Dworkin® does not accept and will not make any form of facilitation payments of any nature. We recognise that facilitation payments are a form of bribery that involves expediting or facilitating the performance of a public official for a routine governmental action. We recognise that they tend to be made by low level officials with the intention of securing or speeding up the performance of a certain duty or action.

5.8 Dworkin® does not allow kickbacks to be made or accepted.

Dworkin® recognises that kickbacks are typically made in exchange for a business favour or advantage. This is a totally unacceptable practice.

5.9 Unwanted Kickbacks/Payments

Dworkin® recognises that, despite our strict policy on facilitation payments and kickbacks, employees perhaps in extreme circumstances, may face a situation where avoiding a facilitation payment or kickback may put them/their family's personal security at risk. Under these circumstances, the following steps must be taken:

- Keep any amount to the minimum.
- Ask for a receipt, detailing the amount and reason for the payment.
- Create a record concerning the payment.
- Report this incident to your Country Manager and the Company DPO.

5.10 Political Contributions

Dworkin® will not make donations, whether in cash, kind, or by any other means, to support any political parties or candidates. We recognise this may be perceived as an attempt to gain an improper business advantage.

5.11 Charitable Contributions

Dworkin® accepts (and indeed encourages) the act of donating to charities – whether through services, knowledge, time, or direct financial contributions (cash or otherwise) – and agrees to disclose all charitable contributions it makes.

5.12 Charitable Contributions and Bribery

Employees must be careful to ensure that charitable contributions are not used to facilitate and conceal acts of bribery.

5.13 Legal and ethical charitable donations

Dworkin® will ensure that all charitable donations made are legal and ethical under local laws and practices, and that donations are not offered/made without the approval of the Country Manager and the Company DPO.

6. Employee Responsibilities

As an employee of Dworkin®, you must ensure that you read, understand, and comply with the information contained within this policy, and with any training or other anti-bribery and corruption information you are given.

All employees and those under our control are equally responsible for the prevention, detection, and reporting of bribery and other forms of corruption. They are required to avoid any activities that could lead to, or imply, a breach of this anti-bribery policy.

If you have reason to believe or suspect that an instance of bribery or corruption has occurred or will occur in the future that breaches this policy, you must notify the Company DPO.

If any employee breaches this policy, they will face disciplinary action and could face dismissal for gross misconduct. Dworkin® has the right to terminate a contractual relationship with an employee if they breach this anti-bribery policy.

7. What must I do if I need to raise a concern?

This section of the policy covers 3 areas:

- How to raise a concern.
- What to do if you are a victim of bribery or corruption.
- Protection.

7.1 How to raise a concern

If you suspect that there is an instance of bribery or corrupt activities occurring in relation to Dworkin®, you are encouraged to raise your concerns at as early a stage as possible. If you're uncertain about whether a certain action or behaviour can be considered bribery or corruption, you should speak to your Country Manager, the Company DPO or the CEO

7.2 Whistleblowing

Dworkin® will familiarise all employees with its whistleblowing procedures so employees can vocalise their concerns swiftly and confidentially. The Dworkin Whistle Blowing policy can be found on the Dworkin Album/Dworkin Internal/Dworkin Internal Rules and Policies/DWP_5-9 Whistleblowing Policy Statement_05.06.2024_MiW.

7.3 What to do if you are a victim of bribery or corruption?

You must tell your Country Manager or the Company DPO as soon as possible if you are offered a bribe by anyone, if you are asked to make one, if you suspect that you may be bribed or asked to make a bribe in the near future, or if you have reason to believe that you are a victim of another corrupt activity.

7.4 Protection

If you refuse to accept or offer a bribe or you report a concern relating to potential act(s) of bribery or corruption, Dworkin® understands that you may feel worried about potential repercussions. Dworkin® will support anyone who raises concerns in good faith under this policy, even if investigation finds that they were mistaken.

7.5 What does detrimental treatment mean?

Detrimental treatment refers to dismissal, disciplinary action, treats, or unfavourable treatment in relation to the concern the individual raised.

7.6 Non detrimental treatment guarantee

Dworkin® will ensure that no one suffers any detrimental treatment as a result of refusing to accept or offer a bribe or other corrupt activities or because they reported a concern relating to potential act(s) of bribery or corruption.

7.7 Suspect that you have been subjected to detrimental treatment after having reported a concern?

If you have reason to believe you've been subjected to unjust treatment as a result of a concern or refusal to accept a bribe, you should inform your Country Manager or the Company DPO immediately.

8. Training and communication

Dworkin® will provide training on this policy as part of the induction process for all new employees. Employees will also receive regular, relevant training on how to adhere to this policy, and will be asked annually to formally accept that they will comply with this policy.

During the Covid 19 Pandemic, training was delivered online using the MS Teams application, this training was conducted to bring awareness and confirmation of the Dworkin® Anti-Bribery and Anti-Corruption Policy.

Dworkin®'s anti-bribery and corruption policy and zero-tolerance attitude will be clearly communicated (upon request) to all suppliers, contractors, business partners, and any third-parties at the outset of business relations, and as appropriate thereafter.

Dworkin® will provide relevant anti-bribery and corruption training to employees etc. where we feel their knowledge of how to comply with the Bribery Act needs to be enhanced. As good practice, all businesses should provide their employees with anti-bribery training where there is a potential risk of facing bribery or corruption during work activities.

9. Record keeping

9.1 Dworkin® always keeps detailed and accurate financial records, and will have appropriate internal controls in place to act as evidence for all payments made. We will declare and keep a written record of the amount and reason for hospitality or gifts accepted and given, and understand that gifts and acts of hospitality are subject to managerial review. With regard to financial dealings, Dworkin® employ accountants to ensure that all financial activities are kept above board and of the highest legal standards.

10. Monitoring and reviewing

Dworkin®'s DPO is responsible for monitoring the effectiveness of this policy and will review the implementation of it on a regular basis. They will assess its suitability, adequacy, and effectiveness.

Internal control systems and procedures designed to prevent bribery and corruption are subject to regular audits to ensure that they are effective in practice.

Any need for improvements will be applied as soon as possible. Employees are actively encouraged to offer their feedback on this policy if they have any suggestions for how it may be improved. Feedback of this nature should be addressed to Mick Williamson (ISO administrator) (00420 775 468 826).

This policy does not form part of an employee's contract of employment and Dworkin® may amend it at any time so to improve its effectiveness at combatting bribery and corruption.

11. Table of Amendments

Name of Amender	What was Amended	Date of Amendment
Michael WILLIAMSON	Change of entire document to new Dworkin® font design	14.01.2020
Michael WILLIAMSON	Checked document for viability	14.01.2020
Michael WILLIAMSON	Checked document for viability	18.01.2021
Michael WILLIAMSON	Checked document for viability	12.08.2022
Michael WILLIAMSON	Checked document for validity and viability	26.01.2023
Michael WILLIAMSON	Checked document for validity and viability	03.01.2024
Michael WILLIAMSON Martin KRIVY	Checked document for validity and viability following restructuring	22.01.2025
Michael WILLIAMSON	Changes made to front page of document	27.02.2025